

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : James R. Hansen
 Serial No. : 09/708,384
 Filed : November 8, 2000
 Title : REPORTING THE STATE OF AN APPARATUS TO A REMOTE COMPUTER

Art Unit : 2142
 Examiner : Thong H. Vu

Mail Stop Amendment
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

RECEIVED

SEP 01 2004

INFORMATION DISCLOSURE STATEMENT

Technology Center 2100

Applicant submits the references listed on the attached form PTO-1449.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket No. 11333-014001.

Respectfully submitted,

Date:

August 30, 2004

Paul A. Pysher
 Reg. No. 40,780

Fish & Richardson P.C.
 225 Franklin Street
 Boston, MA 02110-2804
 Telephone: (617) 542-5070
 Facsimile: (617) 542-8906

20929580.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

August 30, 2004

Date of Deposit

Signature

Sharon Fernald

Sharon Fernald

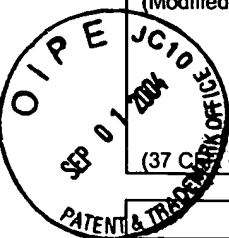
Typed or Printed Name of Person Signing Certificate

09/01/2004 MBLANCO 00000006 09708384

01 FC:1806

180.00 DP

| | | | |
|---|--|---------------------------------------|-------------------------------|
| Substitute Form PTO-1449 (Modified) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 11333-014001 | Application No. 09/708,384 |
| Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 C.F.R. §1.98(b)) | | Applicant James R. Hansen | |
| | | Filing Date November 8, 2000 | Group Art Unit 2142 |

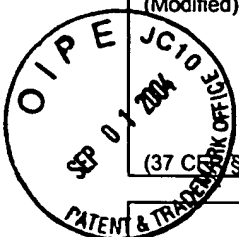


U.S. Patent Documents

| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------|-----------------|------------------|---------------------|-------|----------|----------------------------|
| | AA | 2002/0064138 | 05-2002 | Saito et al. | | | |
| | AB | 4,497,037 | 01-1985 | Kato et al. | | | |
| | AC | 4,583,834 | 04-1986 | Seko et al. | | | |
| | AD | 5,212,645 | 05-1993 | Wildes et al. | | | |
| | AE | 5,586,254 | 12-1996 | Kondo et al. | | | |
| | AF | 5,732,212 | 03-1998 | Perholz et al. | | | |
| | AG | 5,736,965 | 04-1998 | Mosebrook et al. | | | |
| | AH | 5,774,052 | 06-1998 | Hamm et al. | | | |
| | AI | 5,845,230 | 12-1998 | Lamberson | | | |
| | AJ | 5,880,677 | 03-1999 | Lestician | | | |
| | AK | 5,917,405 | 06-1999 | Joao | | | |
| | AL | 5,968,116 | 10-1999 | Day et al. | | | |
| | AM | 5,970,149 | 10-1999 | Johnson | | | |
| | AN | 5,975,737 | 11-1999 | Crater et al. | | | |
| | AO | 6,014,691 | 01-2000 | Brewer et al. | | | |
| | AP | 6,023,223 | 02-2000 | Baxter, Jr. | | | |
| | AQ | 6,060,994 | 05-2000 | Chen | | | |
| | AR | 6,098,116 | 08-2000 | Nixon et al. | | | |
| | AS | 6,167,448 | 12-2000 | Hemphill et al. | | | |
| | AT | 6,282,454 | 08-2001 | Papadopolous et al. | | | |
| | AU | 6,304,895 | 10-2001 | Schneider et al. | | | |
| | AV | 6,317,848 | 11-2001 | Sorens et al. | | | |
| | AW | 6,343,320 | 01-2002 | Fairchild et al. | | | |
| | AX | 6,370,582 | 04-2002 | Lim et al. | | | |
| | AY | 6,405,310 | 06-2002 | Simpson | | | |
| | AZ | 6,415,023 | 07-2002 | Iggulden | | | |
| | AAA | 6,430,711 | 08-2002 | Sekizawa | | | |

| | |
|--|-----------------|
| Examiner Signature | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |

| | | | |
|--|--|---------------------------------------|-------------------------------|
| Substitute Form PTO-1449 (Modified) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 11333-014001 | Application No. 09/708,384 |
| Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b)) | | Applicant James R. Hansen | |
| | | Filing Date November 8, 2000 | Group Art Unit 2142 |



U.S. Patent Documents

| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------|-----------------|------------------|-------------------|-------|----------|----------------------------|
| | ABB | 6,437,692 | 08-2002 | Petite et al. | | | |
| | ACC | 6,466,971 | 10-2002 | Humpleman et al. | | | |
| | ADD | 6,560,656 | 05-2003 | O'Sullivan et al. | | | |
| | AEE | 6,651,110 | 11-2003 | Caspers et al. | | | |
| | AFF | 6,651,190 | 11-2003 | Worley et al. | | | |
| | AGG | 6,686,838 | 02-2004 | Rezvani et al. | | | |
| | AHH | 6,757,714 | 06-2004 | Hansen | | | |

RECEIVED

SEP 01 2004

Technology Center 2100

Foreign Patent Documents or Published Foreign Patent Applications

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation | |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | AII | WO 99/57837 | 11-1999 | WIPO | | | | |
| | AJJ | WO02/21414 | 03-2002 | WIPO | | | | |
| | AKK | WO02/10919 | 02-2002 | WIPO | | | | |
| | ALL | WO02/25501 | 03-2002 | WIPO | | | | |
| | AMM | WO99/21336 | 04-1999 | WIPO | | | | |

Other Documents (include Author, Title, Date, and Place of Publication)

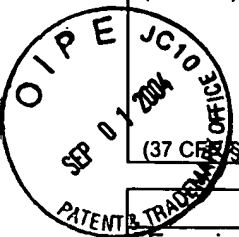
| Examiner Initial | Desig. ID | Document |
|------------------|-----------|--|
| | ANN | International Search Report PCT/US01/23651. |
| | AOO | Office Action dtd 6/22/2004 from EPO App. 01996048.3. |
| | APP | Office Action dtd 8/5/2004 from EPO App. 01955993.9. |
| | AQQ | Steinfeld, Edward, "Internet-appliance technology automates test equipment", <u>EDN Magazine</u> , pp. 157-169 (2000). |
| | ARR | Williams, Tom, "Java Goes To Work Controlling Networked Embedded Systems", <u>Computer Design</u> , 35:9:36-37 (1996). |
| | ASS | http://www.linuxjournal.com/article.php?sid=4195 |
| | ATT | "RomWebClient Embedded HTTP client 1-61 Toolkit" ALLEGROSOFT, 'Online! 9 July 2000 (2000-07-091, pages 1-2, XPOO2201938 Retrieved from the Internet: <URL: http://web.archive.org/web/20000709204234/http://www.allegrosoft.com/romwebclient.html > retrieved on 2002-06-12 the whole document. |
| | AUUV | Box, et al., "Simple Object Access Protocol (SOAP) 1.1, Document No. XP002250270, May 8, 2000 |

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| | | | |
|--|--|---------------------------------------|-------------------------------|
| Substitute Form PTO-1449 (Modified) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 11333-014001 | Application No. 09/708,384 |
| Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b)) | | Applicant James R. Hansen | |
| | | Filing Date November 8, 2000 | Group Art Unit 2142 |



| Other Documents (include Author, Title, Date, and Place of Publication) | | |
|---|------------------|--|
| Examiner Initial | Desig. ID | Document |
| | AVV ⁹ | Edward Steinfeld, "Making the Change" Document No. XP002201937, April, 2000, Circuit Cellar Online |
| | AWW | Allegro Software product release 1-61 overview" GREENHILLS SOFTWARE INC., 'Online! 10 June 2002 (2002-06-10), pages 1-1, XPOO2201939 Retrieved from the Internet: URL: http://www.ghs.com/partners/allegro/ ' retrieved on 2002-06-10! the whole document |
| | AXX | Database WIP, Section EI, Week 200156, Abstract, Document No. XP002253876 (Korea Electronics & Telecom Res Inst.) Derwent Publications, Ltd., London, GB, March 5, 2001 |

RECEIVED

SEP 01 2004

Technology Center 2100

| | |
|--|-----------------|
| Examiner Signature | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |